

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 4995646                                     |
| <b>Application Number:</b>                  | 09809885                                    |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 7715  |
| <b>Title of Invention:</b>                  | FUNCTIONALIZED SINGLE-WALL CARBON NANOTUBES |
| <b>First Named Inventor/Applicant Name:</b> | John L. Margrave                            |
| <b>Customer Number:</b>                     | 26201                                       |
| <b>Filer:</b>                               | Ross Spencer Garsson/Denise Siede           |
| <b>Filer Authorized By:</b>                 | Ross Spencer Garsson                        |
| <b>Attorney Docket Number:</b>              | 21753-013003                                |
| <b>Receipt Date:</b>                        | 19-MAR-2009                                 |
| <b>Filing Date:</b>                         | 16-MAR-2001                                 |
| <b>Time Stamp:</b>                          | 12:10:45                                    |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                 |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1055          |
| RAM confirmation Number                  | 8497            |
| Deposit Account                          | 061050          |
| Authorized User                          |                 |

### File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/<br>Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|

|                                     |   |                              |   |    |    |
|-------------------------------------|---|------------------------------|---|----|----|
| 1                                   | Request for Corrected Filing Receipt    | 21753-0013003-reqcofr.pdf    | 4324962<br>55b6165915ee0ae12ca0b0e82f901013e2016e   | no | 22 |
| <b>Warnings:</b>                    |   |                              |   |    |    |
| <b>Information:</b>                 |   |                              |   |    |    |
| 2                                   | Post Allowance Communication - Incoming | 21753-0013003-moa.pdf        | 142529<br>f7c5a27c1271aff9588a93488a013d34532e046   | no | 1  |
| <b>Warnings:</b>                    |   |                              |   |    |    |
| <b>Information:</b>                 |   |                              |   |    |    |
| 3                                   | Issue Fee Payment (PTO-85B)             | 21753-0013003-partb.pdf      | 374437<br>3d8f8bed321bf7be765808751bca4107ad1f10a   | no | 1  |
| <b>Warnings:</b>                    |   |                              |   |    |    |
| <b>Information:</b>                 |   |                              |   |    |    |
| 4                                   | Change of Address                       | 21753-0013003-feeaddress.pdf | 224981<br>e7016125da0ed6671408b09be8971077e0a5681c0 | no | 1  |
| <b>Warnings:</b>                    |   |                              |   |    |    |
| <b>Information:</b>                 |   |                              |   |    |    |
| 5                                   | Fee Worksheet (PTO-06)                  | fee-info.pdf                 | 32114<br>79001351b179a26724105e36e665a9025500b      | no | 2  |
| <b>Warnings:</b>                    |   |                              |   |    |    |
| <b>Information:</b>                 |   |                              |   |    |    |
| <b>Total Files Size (in bytes):</b> |   |                              | 5099023   |    |    |

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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### **National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### **New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.